

The Minimum-weight k -Ideal Problem on a Directed Tree Poset and Its Polyhedron

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Abstract

Suppose we are given a poset (partially ordered set) $\mathcal{P}=(V, \preceq)$, a real-valued weight $w(v)$ associated with each element $v \in V$ and a positive integer κ . We consider the problem which asks to find an ideal of size κ of \mathcal{P} such that the sum of the weights of the elements of this ideal is the minimum for all ideals that can be constructed from \mathcal{P} . We call this problem the minimum-weight κ -ideal problem. In this paper we explore a further possibility for a solvable case of this problem because it has been proven that this problem is \mathcal{NP} -hard even if the Hasse diagram representing a given poset is a bipartite graph. We obtain two new results. First, we describe an $O(\kappa^2 n)$ algorithm on the special poset whose Hasse diagram is a directed tree, which is called a directed tree poset. Here n is the cardinality of the underlying set of the given poset. This outperforms an $O(n^4)$ algorithm that is the best previously known. Secondly, we show a characteristic of the polyhedron obtained from the LP-relaxation of the κ -ideal problem on a directed tree poset. This is the first step to solve the other type κ -ideal problems from the LP-relaxation technique.

1. Introduction

A binary relation \preceq on a set V is called a *partial order* if it satisfies the following three conditions: $\forall v \in V: v \preceq v$ (Reflexivity), $v \preceq w, w \preceq v \Rightarrow v = w$ (Antisymmetry), $u \preceq v, v \preceq w \Rightarrow u \preceq w$ (Transitivity). If $u \preceq v$ and $u \neq v$, we write $u \prec v$. We call the pair (V, \preceq) a *partially ordered set* or a *poset* for short. A poset $\mathcal{P}=(V, \preceq)$ is usually represented by a (directed) graph $G(\mathcal{P})=(V, A(\mathcal{P}))$ which is called the *Hasse diagram*, where $A(\mathcal{P}) = \{(u, v) \mid u, v \in V, u \prec v \text{ and there exists no element } \hat{v} \text{ such that } u \prec \hat{v} \prec v\}$. For a poset $\mathcal{P}=(V, \preceq)$ a subset I of V is called an *ideal* of \mathcal{P} if $u \preceq v \in I$ always implies $u \in I$. Posets and ideals play a fundamental and essential role in the systems represented by a directed graph or a network. Therefore, they lie in numerous application settings and in many forms (see, e. g., [1], [6], [7]).

Suppose we are given a poset $\mathcal{P}=(V, \preceq)$, a real-valued weight $w(v)$ associated with each element $v \in V$ and a positive integer κ . Then the *minimum-weight cardinality-restricted ideal problem* (of size κ) or the *minimum-weight k -ideal problem* discussed in this paper is formulated as follows:

$$P_\kappa: \text{Minimize } \sum_{v \in I} w(v) \tag{1.1a}$$

$$\text{subject to } I \in \mathcal{I}(\mathcal{P}), \tag{1.1b}$$

$$|I| = \kappa, \tag{1.1c}$$

where $\mathcal{I}(\mathcal{P})$ is the set of all the ideal of \mathcal{P} . The optimization problem on the ideal is valuable because many application in real-life are formalized as the ideal problem such as the above problem. Therefore, various types of this class of problems have been well researched, and Problem P_κ is known to be \mathcal{NP} -hard to the size of the Hasse diagram $G(\mathcal{P})$ representing a given poset \mathcal{P} (see [4] for the concept of \mathcal{NP} -hard) even if the Hasse diagram $G(\mathcal{P})$ is a bipartite graph (see [3] for basic terminology on the graph theory). This proposition drives us to the question on which families of posets we can solve Problem P_κ in polynomial time.

A study on this question was recently made by Faigle and Kern [2], and it revealed that we can solve Problem P_κ in $O(n^4)$ on the special poset whose Hasse diagram is a directed tree. We call such a poset a *directed tree poset*. Essentially more general classes of posets having a polynomial time algorithm for this problem have not been found yet. It still remains to be done.

In this paper we focus on Problem P_κ on a directed tree poset. First, we propose a simple implementation of the $O(n^4)$ algorithm for Problem P_κ on a directed tree poset proposed by Faigle and Kern [2], and reduce its complexity to $O(\kappa^2 n)$. The main cause of improvement is to transform the directed tree poset into an auxiliary binary tree. It leads to a simple computation and a strict estimation of the time complexity. Secondly, we show characteristics of the polyhedron obtained from the LP-relaxation of the κ -ideal problem on a directed tree poset. This result is the first step to solve the other type κ -ideal problems from the LP-relaxation technique. Each of the results has been developed from that in [2].

2. An Improved Algorithm

In this section we propose an $O(\kappa^2 n)$ improved algorithm for the minimum-weight κ -ideal problem (P_κ) on a directed tree poset $\mathcal{T}=(V, \preceq)$ with root v_1 . The essential behavior of the improved algorithm is the same as that of the algorithm proposed by [2]: For the Hasse diagram $G(\mathcal{T})=(V, A(\mathcal{T}))$ of \mathcal{T} , starting with the leaves of $G(\mathcal{T})$, we successively move down to the root by computing the optimal value for Problem P_t ($0 \leq t \leq \min\{|V(v)|, \kappa\}$) on the directed subtree with root v of $G(\mathcal{T})$. Here,

$$V(v) = \{u \mid \text{there is the path from } u \text{ to } v \text{ in } G(\mathcal{T})\}. \quad (2.1)$$

The original algorithm [2] takes $O(n^3)$ to compute the optimal value at a vertex from the values for its children if the number of them is more than 2. However, we improved the time complexity to do it to $O(\kappa^2)$ by transforming from $G(\mathcal{T})$ into an auxiliary binary tree, which is a binary directed tree, i. e., the number of children of any vertex is at most 2, and from whose directed subtree we can retrieve the ideal of \mathcal{T} easily.

First, for the Hasse diagram $G(\mathcal{T})=(V, A(\mathcal{T}))$ of \mathcal{T} , we construct a directed tree $\tilde{G}(\mathcal{T})=(\tilde{V}, \tilde{A}(\mathcal{T}))$ by the following steps:

Step 1 : Put $\tilde{V}=V$ and $\tilde{A}(\mathcal{T})=A(\mathcal{T})$.

Step 2 : Repeat the following (*) until $\{v \in \tilde{V} \mid \text{the number of } v\text{'s children is more than } 2\} = \emptyset$.

(*) Find a vertex $v \in \tilde{V}$ such that the number of v 's children is more than 2 and choose its two children u_1, u_2 . Add a dummy vertex \tilde{v} into $\tilde{G}(\mathcal{T})$ such that its parent is v and

its two child are u_1 and u_2 . That is, put $\tilde{V} := \tilde{V} \cup \{\tilde{v}\}$ and $\tilde{A}(\mathcal{T}) := (\tilde{A}(\mathcal{T}) - \{(u, v)\}) \cup \{(u_1, \tilde{v}), (u_2, \tilde{v}), (\tilde{v}, v)\}$.

We call $\tilde{G}(\mathcal{T}) = (\tilde{V}, \tilde{A}(\mathcal{T}))$ obtained by the above steps an *auxiliary tree* for \mathcal{T} . See Figure 1 for an example of the auxiliary tree $\tilde{G}(\mathcal{T})$ for a directed tree poset \mathcal{T} .

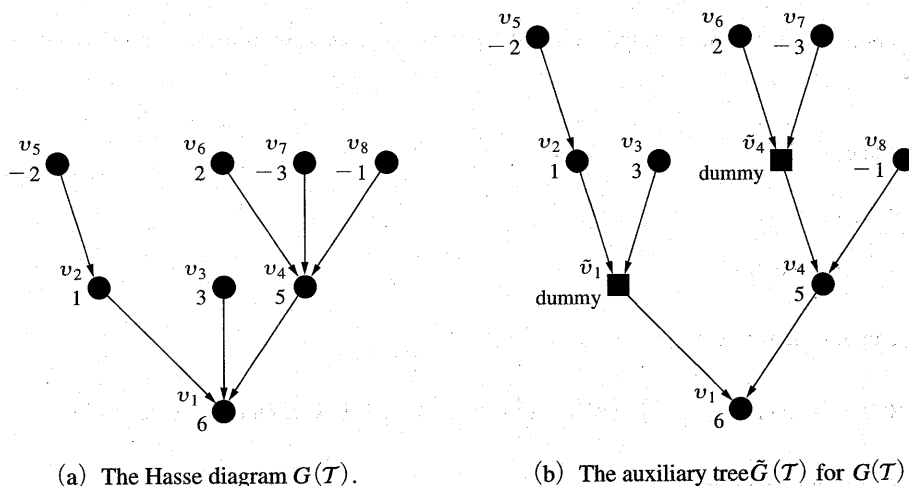


Figure 1 : An example for a directed tree poset \mathcal{T} .

We remark that the auxiliary tree $\tilde{G}(\mathcal{T})$ has the following features:

- (1) The root v_1 and leaves are not dummy vertex;
- (2) For a directed subtree $\hat{\mathcal{T}} = (\hat{\mathcal{T}}, \hat{A})$ with root v_1 of $\tilde{G}(\mathcal{T})$ and the set V^d of all the dummy vertices in $\hat{\mathcal{T}}$, the set $\hat{\mathcal{T}} - V^d$ is an ideal of \mathcal{T} ;
- (3) $|\hat{\mathcal{T}}| \leq 2|V| - 3$ and $|\tilde{A}(\mathcal{T})| \leq 2|V| - 4$.

Algorithm

Input : A directed tree poset $\mathcal{T} = (V, \leq)$ with root v_1 and a positive integer κ .

Output : The solution of Problem P_κ on \mathcal{T} .

Step 1 : Construct the auxiliary tree $\tilde{G}(\mathcal{T}) = (\tilde{V}, \tilde{A}(\mathcal{T}))$ for \mathcal{T} .

Step 2 : Repeat the following (*) for v_i in topological order.

(*) Compute $W(t, v_i)$ ($0 \leq t \leq \min\{|\tilde{V}(v_i)|, \kappa\}$) according to the above (i), (ii) and (iii).

Step 3 : The current $W(\kappa, v_1)$ is the optimal solution.

(End)

Figure 2 : The algorithm for determining $W(\kappa, v_1)$.

Secondly, we sketch an implementation using $\tilde{G}(T)$. For a vertex $v_i \in \tilde{V}$ $\hat{T}(v_i) = (\hat{V}(v_i), \hat{A}(v_i))$ stands for a directed subtree with root v_i of $\tilde{G}(T)$ and $\hat{V}^d(v_i)$ the set of all the dummy vertices in $\hat{V}(v_i)$. Furthermore, let us define

$$W(t, v_i) = \min \left\{ \sum_{v \in \hat{V}(v_i)} w(v) \mid |\hat{V}(v_i) - \hat{V}^d(v_i)| = t \right\} \quad (0 \leq t \leq \min \{ |\hat{V}(v_i)|, \kappa \}). \quad (2.2)$$

We can compute $W(t, v_i)$ from the values obtained for at most two children of v_i , which is denoted by $u_{v_i}^1$ and $u_{v_i}^2$, as follows:

(i) For a leaf v_i of T

$$W(0, v_i) = 0, \quad (2.3a)$$

$$W(1, v_i) = w(v_i). \quad (2.3b)$$

(ii) For a dummy inner vertex v_i

$$W(t, v_i) = \min \{ W(t', u_{v_i}^1) + W(t'', u_{v_i}^2) \mid t' + t'' = t \} \quad (0 \leq t \leq \min \{ |\hat{V}(v_i)|, \kappa \}). \quad (2.4)$$

(iii) For a non-dummy inner vertex v_i

$$W(0, v_i) = 0, \quad (2.5a)$$

$$W(t, v_i) = w(v_i) + \min \{ W(t', u_{v_i}^1) + W(t'', u_{v_i}^2) \mid t' + t'' = t - 1 \} \quad (1 \leq t \leq \min \{ |\hat{V}(v_i)|, \kappa \}). \quad (2.5b)$$

In the case when an inner vertex v_i has only one child $u_{v_i}^1$, replace $\min \{ W(t', u_{v_i}^1) + W(t'', u_{v_i}^2) \mid t' + t'' = t \}$ in (2.4) by $W(t, u_{v_i}^1)$, and $\min \{ W(t', u_{v_i}^1) + W(t'', u_{v_i}^2) \mid t' + t'' = t - 1 \}$ in (2.5b) by $W(t - 1, u_{v_i}^1)$.

The objective function value of an optimal solution of Problem P_κ on T is given by $W(\kappa, v_1)$ due to the definition. The above computation method of $W(t, v_i)$ suggests the "from leaves to root" algorithm for determining $W(\kappa, v_1)$ as shown in Figure 2.

Also see Figure 3 for illustrating the algorithm in the case of $\kappa = 4$ on the directed tree poset given in Figure 1. We have $W(4, v_1) = 7$ and the corresponding set $\{v_1, v_4, \tilde{v}_4, v_7, v_8\}$; hence, the minimum-wight ideal of size 4 of T is $\{v_1, v_4, v_7, v_8\}$.

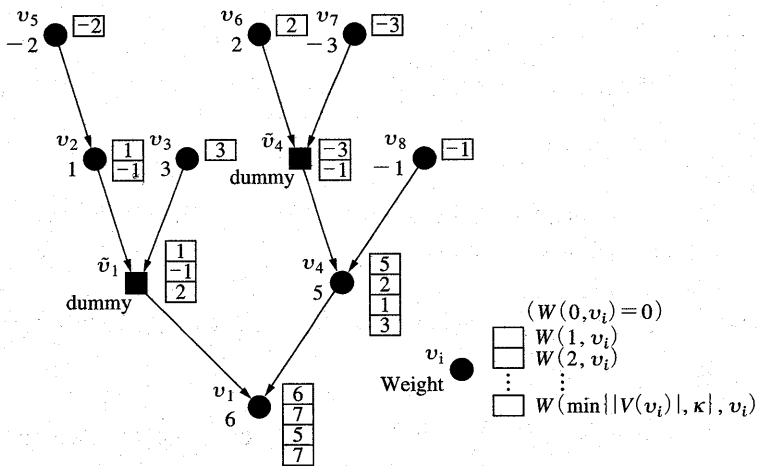


Figure 3 : Illustrating the algorithm in the case of $\kappa=4$ on the directed tree poset \mathcal{T} shown in Figure 1.

Theorem 2.1 : *The algorithm shown in Figure 2 computes $W(\kappa, v_1)$ in $O(\kappa^2 n)$ time.*

(Proof) The correctness of the algorithm is clear from the above discussion. We consider the time complexity for the algorithm. Constructing the auxiliary tree $\tilde{G}(\mathcal{T}) = (\tilde{V}, \tilde{A}(\mathcal{T}))$ takes $O(n)$ time. And we need $O(\kappa^2)$ time to compute $W(t, v_i) (0 \leq t \leq \min\{|\tilde{V}(v_i)|, \kappa\})$ at a vertex v_i . Because, in an iteration for Step 2, the number of all the pairs of two numbers such that one is chosen from $\{0, 1, \dots, \min\{|\tilde{V}(u_{v_i}^1)|, \kappa\}\}$ and the other is chosen from $\{0, 1, \dots, \min\{|\tilde{V}(u_{v_i}^2)|, \kappa\}\}$ is at most $(\kappa+1)^2$, and the other computations take a constant time.

The number of iterations of Step 2 is $|\tilde{V}|$ times. Notice that $|\tilde{V}| \leq 2|V| - 3$. Hence, the overall bound is $O(\kappa^2 n)$. \square

If an optimal ideal is required, then the additional data should be held all the contributing vertices in computing $W(t, v_i)$.

3. A Characteristic of the Polyhedron

In this section we show a property of the polyhedron obtained from the LP-relaxation of the cardinality-restricted ideal problem P_κ on a directed tree poset.

The LP-relaxation of Problem P_κ on a general poset is given as follows:

$$P_{\kappa}^{LP}: \text{Minimize } wx \tag{3.1a}$$

$$\text{subject to } D^t x \leq \mathbf{0}_m, \tag{3.1b}$$

$$\sum_{v \in V} x(v) = \kappa, \tag{3.1c}$$

$$\mathbf{0}_n \leq x \leq \mathbf{1}_n, \tag{3.1d}$$

where D^t denotes the transposition of the incidence matrix D of the Hasse diagram representing a given poset, and $\mathbf{0}_n(\mathbf{1}_n)$ stands for the all-0 (1) column vector of size n .

It is well known the following fundamental relationship between the non-cardinality-restricted ideal problems which is formulated as $\min \{ \sum_{v \in I} w(v) \mid I \in \mathcal{I}(\mathcal{P}) \}$ and its LP-relaxation, denoted by P_{κ}^{LP} , whose constraint system do not have only (3.1c) in the above P_{κ}^{LP} .

Proposition 3.1(see [8]) : *The polyhedron $Q = \{x \mid D^t x \leq \mathbf{0}_m, \mathbf{0}_n \leq x \leq \mathbf{1}_n\}$ is integral, i. e., $\min \{wx \mid x \in Q\}$ is attained by an integral vector for each w for which the minimum is finite. \square*

See Figure 4 for an example of a polyhedron Q defined in Proposition 3.1.

Proposition 3.2(see [8]) : *An integral optimal solution of Problem P_{κ}^{LP} is an optimal solution of the minimum-weight non-cardinality-restricted ideal problem. \square*

Due to Proposition 3.2 we can obtain an optimal ideal for the minimum-weight non-cardinality-restricted ideal problem by applying methods for the linear programming problem such as the simplex method.

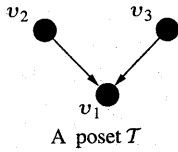
However, the additional constraint, $\sum_{v \in V} x(v) = \kappa$, breaks the above property, i. e., the polyhedron

$$Q_{\kappa} = \left\{ x \in Q \mid \sum_{v \in V} x(v) = \kappa \right\} \tag{3.2}$$

is not integral, where the set Q is defined in Proposition 3.1. (See Figure 5 for an example of such polyhedrons.) Therefore, we get difficult in solving the κ -ideal problem from LP-relaxation technique by this observation even if a given poset is a directed tree poset. Nevertheless, we can see the particular structure of the polyhedron Q_{κ} on a directed tree poset.

Lemma 3.3 : *For a directed tree poset $T = (V, \leq)$ with root v_1 and a positive integer κ with $\kappa \leq |V|$, consider the polyhedron Q_{κ} defined by (3.2). For a vertex \hat{x} of Q_{κ} let us define sets*

$$V_1 = \{v \in V \mid \hat{x}(v) = 1\} \tag{3.3}$$



The Polyhedron Q

$$Q = \left\{ x = \begin{pmatrix} x(v_1) \\ x(v_2) \\ x(v_3) \end{pmatrix} \in \mathbb{R}^3 \mid \begin{pmatrix} -1 & 1 & 0 \\ -1 & 0 & 1 \end{pmatrix} x \leq \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \right. \\ \left. 0 \leq x(v_1), x(v_2), x(v_3) \leq 1. \right\}$$

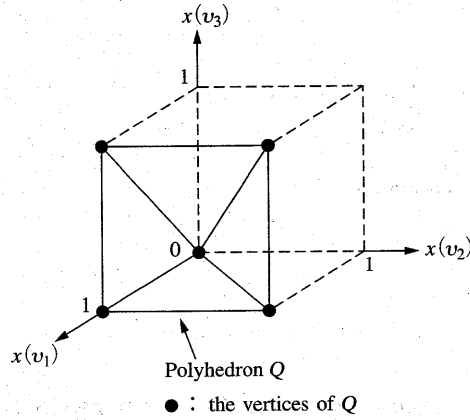


Figure 4 : An example of a poset T and its polyhedron Q .

and

$$V_2 = \{v \in V \mid 0 < \hat{x}(v) < 1\}. \quad (3.4)$$

Then, for all $v \in V_2$ $\hat{x}(v)$ has the same constant value. Indeed, for all $v \in V_2$

$$\hat{x}(v) = \frac{\kappa - |V_1|}{|V_2|}. \quad (3.5)$$

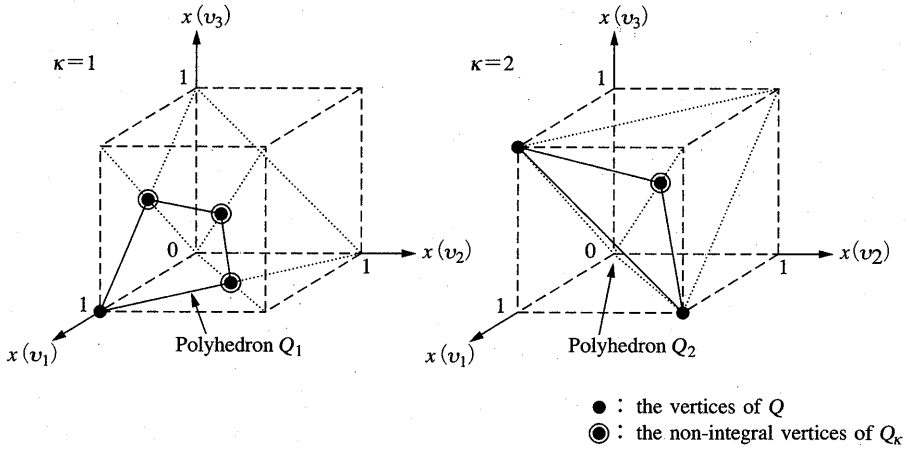
(Proof) If \hat{x} is a vertex of Q , then it is determined by n linearly independent equations from the system given by (3.1b)~(3.1d) (see [8]), which are expressed as follows:

$$D_0^t \hat{x} = \mathbf{0}_{|V_2|-1} \text{ for submatrix } D_0 \text{ of } D, \quad (3.6a)$$

$$\mathbf{1}_n^t \hat{x} = \kappa, \quad (3.6b)$$

$$\hat{x}(v) = 1 \ (v \in V_1), \quad (3.6c)$$

$$\hat{x}(v) = 0 \ (v \in V_3), \quad (3.6d)$$



$$Q_\kappa = \{x \in Q \mid x(v_1) + x(v_2) + x(v_3) = \kappa\}$$

Figure 5 : The Polyhedron Q_κ ($\kappa=1, 2$) for the directed tree poset \mathcal{T} shown in Figure 4.

where $V_3 \equiv V - (V_1 \cup V_2) = \{v \in V \mid \hat{x}(v) = 0\}$. Notice that D_0^t can be expressed as

$$D_0^t = \begin{pmatrix} D_{V_1}^t & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & D_{V_2}^t & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & D_{V_3}^t \end{pmatrix}, \quad (3.7)$$

where D_{V_i} ($i=1, 2, 3$) denotes the incidence matrix of the subgraph of the Hasse diagram $G(\mathcal{T})$ of the given directed tree poset \mathcal{T} induced by V_i , respectively. By deleting the evident factor from the above n linearly independent equations we obtain the following equations with respect to $\hat{x}(V_2) = (x(v) \mid v \in V_2)$:

$$D_{V_2}^t \hat{x}(V_2) = \mathbf{0}_{|V_2|-1}, \quad (3.8a)$$

$$\mathbf{1}_{|V_2|}^t \hat{x}(V_2) = \kappa - |V_1|. \quad (3.8b)$$

These are linearly independent, that is,

$$\text{rank} \begin{pmatrix} D_{V_2}^t \\ \mathbf{1}_{|V_2|}^t \end{pmatrix} = |V_2|. \quad (3.9)$$

Therefore,

$$\hat{x}(V_2) = \begin{pmatrix} D_{V_2}^t \\ \mathbf{1}_{|V_2|}^t \end{pmatrix}^{-1} \begin{pmatrix} \mathbf{0}_{|V_2|-1} \\ \kappa - |V_1| \end{pmatrix} = \frac{1}{|V_2|} \begin{pmatrix} * \\ \vdots \\ 1 \end{pmatrix} \begin{pmatrix} \mathbf{0}_{|V_2|-1} \\ \kappa - |V_1| \end{pmatrix} = \frac{\kappa - |V_1|}{|V_2|} \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix}. \quad (3.10)$$

We have thus proved the lemma. □

Lemma 3.4 : For the set V_1 and V_2 defined in Lemma 3.3 let us define subgraphs $T_1=(V_1, A_{V_1})$ and $T_2=(V_2, A_{V_2})$ of the Hasse diagram $G(T)$ of T induced by V_1 and V_2 , respectively. Then T_1 and T_2 are the directed subtrees of $G(T)$ satisfying the following three conditions:

- (i) The root of T_1 is v_1 .
- (ii) The parent \hat{v} of the root v' of T_2 is in V_1 if $V_1 \neq \emptyset$; otherwise $v'=v_1$.
- (iii) $|V_1| + |V_2| \geq \kappa$ and $V_1 \cap V_2 = \emptyset$.

(Proof) It is clear that the condition(iii) holds true. T_1 is a directed subtree with root v_1 since the set V_1 forms an ideal of T and v_1 is the unique minimal element of T . From (3.9) we have

$$\text{rank} D_{V_2}^t = |V_2| - 1. \tag{3.11}$$

This implies that T_2 forms a tree (see[5]), and a subtree T_2 of $G(T)$ becomes a directed tree. For the root v' of T_2 and the parent \hat{v} of v' , the constraint (3.1b) and the fact that $\hat{v} \notin V_2$ impose an inequality $x(\hat{v}) > x(v')$. By virtue of Lemma 3.3 the non-integral value of \hat{x} is unique. Hence, $x(\hat{v})$ must be 1, i. e. , $\hat{v} \in V_1$. If $V_1 = \emptyset$, then $v'=v_1$ since the set V_2 must form an ideal of T instead of V_1 . \square

Lemma 3.5 : Consider two directed subtrees $T_1=(V_1, A_{V_1})$ and $T_2=(V_2, A_{V_2})$ of the Hasse diagram $G(T)$ of a directed tree poset $T=(V, \leq)$ with root v_1 satisfying the conditions shown in Lemma 3.4 for a positive integer κ with $\kappa \leq |V|$. Let us define \hat{x} by

$$\hat{x}(v) = \begin{cases} 1 & (\text{if } v \in V_1) \\ \frac{\kappa - |V_1|}{|V_2|} & (\text{if } v \in V_2) \\ 0 & (\text{otherwise}). \end{cases} \tag{3.12}$$

Then, \hat{x} is a vertex of the polyhedron Q_κ .

(Proof) We can see that $\hat{x} \in Q_\kappa$ by substituting \hat{x} into(3.1b)~(3.1d). Now, we show that \hat{x} is a vertex of Q_κ . Some active inequalities for \hat{x} are listed below. (Here, we say an inequality $a^t x \leq b$ is active for \hat{x} in a given system if $a^t \hat{x} = b$.)

$$\begin{pmatrix} D_{V_1}^t & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & D_{V_2}^t & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & D_{V_3}^t \\ \mathbf{1} \cdots \mathbf{1} & \mathbf{1} \cdots \mathbf{1} & \mathbf{1} \cdots \mathbf{1} \\ E_{|V_1|} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & E_{|V_3|} \end{pmatrix} \hat{x} = \begin{pmatrix} \mathbf{0}n \\ \kappa \\ \mathbf{1}_{|V_1|} \\ \mathbf{0}_{|V_3|} \end{pmatrix} \tag{3.13}$$

The left side matrix of (3.13) is denoted by D' . Then, we have

$$\text{rank} D' \geq |V_1| + |V_2| + |V_3| = n \tag{3.14}$$

since each of the first and the third column of D' contributes at least $|V_1|$ and $|V_3|$ to the rank of D' and we have

$$\text{rank} \begin{pmatrix} D_{V_2}^t \\ 1 \dots 1 \end{pmatrix} = |V_2| \quad (3.15)$$

(see [5]). On the contrary, it is clear that $\text{rank} D' \leq n$. Consequently, we have $\text{rank} D' = n$. It is known that the matrix D' has full column rank if and only if \hat{x} is a vertex of Q_k , thereby completing the proof. (See [5], [8], [9] for a full account of the properties of the polyhedron.) \square

Combining Lemma 3.4 with Lemma 3.5, we have the theorem below.

Theorem 3.6 : *The correspondence (3.12) gives a one-to-one and onto mapping from the set of all the pairs of the subtrees T_1 and T_2 of $G(T)$ satisfying the conditions in Lemma 3.4 to the set of all the vertices of Q_k .* \square

We can characterize the structure of Q_k for a directed tree poset. However, no result sheds some light on it for more general posets. Besides, it remains an unsettled question how to delete the non-integral vertex from Q_k . The LP-relaxation technique may not be suit for the cardinality-restricted ideal problems such as Problem P_k . Much still remains to be done.

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